

# Deformation for Positive Characteristic Varieties by Galois theory

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## Abstract

In this article we shall construct a theory for deformation of non necessarily projective fibre spaces of positive characteristic and apply it to an analogy of Iitaka-Viehweg conjecture of positive characteristic([Iita],[Vieh]).

## 1 Introduction

We construct a deformation theory by making use of axiomatic Galois theory in Seminaire Geometrie Algebrique 1([SGA]) developed by Grothendieck. We obtain the following:

**Theorem 1** *Let  $k$  be an algebraically closed field of characteristic  $p > 0$  and  $X$  a projective variety over  $k$ . Then the set of projective varieties  $Y$  of general type whose  $m$ -th pluri-canonical invertible sheaves  $\omega_Y^{\otimes m}$  give birational embedding into projective spaces such that there exist dominant separable rational maps from  $X$  to  $Y$  is finite.*

**Theorem 2** *Let  $k$  be an algebraically closed field of characteristic  $p > 0$ . Let  $f : X \rightarrow S$  be a connected and geometrically irreducible and generically separable morphism between connected and irreducible and generically separable projective schemes over  $k$  which we call these varieties. Assume that  $X$  is a normal variety and that  $S$  is regular. Let  $\omega_{X/S}^{[m]}$  denote the double dual of the  $m$ -th tensor power of  $\omega_{X/S}$  and  $\hat{\mathcal{F}}$  the double dual of a torsion free sheaf  $\mathcal{F}$ . If  $\kappa(X_{\bar{\eta}}) \geq 0$  where  $\bar{\eta}$  is the generic geometric point of  $S$ , then*

$$\max_{m \geq 1} (\kappa(\hat{\det} f_* \omega_{X/S}^{[m]})) \geq \text{var}(X/S)$$

**Theorem 3** *Let  $S$  be a geometrically irreducible and connected separable scheme over  $\text{Spec} \mathbb{Z}$  and  $X, Y$  schemes which are geometrically irreducible and connected separable over  $S$  and  $f : X \rightarrow Y$  a geometrically surjective separable morphism over  $S$ . Assume that  $X$  is isomorphic to a product  $X_0 \times_{\text{Spec} \mathbb{Z}} S$ , where  $X_0$  is a geometrically irreducible and connected separable scheme over  $\text{Spec} \mathbb{Z}$  and that for any geometric point  $\bar{s}$  over each point  $s$  of  $S$ ,  $\text{Aut}(Y_{\bar{s}})$  is a locally algebraic group over  $\bar{s}$ . Then there exists a connected separable scheme  $Y_0$  over  $\text{Spec} \mathbb{Z}$  such that  $Y_{S'}$  is isomorphic to a product  $Y_0 \times_{\text{Spec} \mathbb{Z}} S'$  where  $S'$  is a scheme surjective onto  $S$ .*

## 2 Preliminary

To apply it to our problems, recall a Grothendieck-Galois theory in expose V le Groupe Fondamental SGA1([SGA]) as preliminary which is axiomatic and has a potentially wide application, though it seems to be not yet enough to apply it even in the area of algebraic geometry. Let  $\mathbf{C}$  be a category and  $F$  a covariant functor from  $\mathbf{C}$  to the category **Sets** of sets.

G1  $\mathbf{C}$  has a terminal object and the fibre product of two objects over a third object in  $\mathbf{C}$ , In other words, there exists a finite limit in  $\mathbf{C}$ .

G2 The finite sum in  $\mathbf{C}$  exists. Hence there exist the initial object  $\emptyset_{\mathbf{C}}$  and the quotient of an object of  $\mathbf{C}$  by a finite group of automorphisms. In other words there exist the finite inductive limits in  $\mathbf{C}$ .

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G3 Let  $u : X \rightarrow Y$  be a morphism of  $\mathbf{C}$ . Then  $u$  factorizes to a composition of  $X \xrightarrow{u'} Y' \xrightarrow{u''} Y$  with  $u'$  a strict epimorphism and  $u''$  a monomorphism, which is an isomorphism on the direct factor of  $Y$ .

G4 The functor  $F$  is left-exact.

G5  $F$  commutes with finite direct sums, transforms strict epimorphisms to epimorphisms and commutes with fibre products. In other words the functor  $F$  is right-exact.

G6 Let  $u : X \rightarrow Y$  be a morphism in  $\mathbf{C}$  such that  $F(u)$  is an isomorphism. Then  $u$  is an isomorphism.

Then the functor  $F$  is strictly pro-representable([GG]). So there exists a projective system

$$P_F = ((P_F)_i)_{i \in I}$$

in  $\mathbf{C}$  over  $I$  which is ordered and filtered. We have a functorial isomorphism

$$F(X) = \text{Hom}_{\text{Pro}(\mathbf{C})}(P_F, X)$$

We call  $F$  the fundamental functors, which form a groupoid and  $P_F$  the fundamental pro-objects associated to  $F$ . We call  $\text{Aut}(F)$  the fundamental group which we denote  $\pi$ . It is also the opposite to  $\text{Aut}(P_F)$ .

Let  $\mathbf{C}(\pi)$  be the category of finite sets where  $\pi$  acts continuously to the left. We can consider  $F$  as a covariant functor

$$F : \mathbf{C} \rightarrow \mathbf{C}(\pi)$$

We can define an inverse functor  $G$

$$G : \mathbf{C}(\pi) \rightarrow \mathbf{C}$$

by the formula

$$G(E) = P \times_{\pi} E$$

$F$  and  $G$  are quasi-inverse each other.

**Definition 1** A category which is equivalent to a category  $\mathbf{C}(\pi)$  is said to be Galois category, where  $\pi$  is a profinite group.

The category  $\text{Pro-}\mathbf{C}(\pi)$  is equivalent to the category  $\mathbf{C}'(\pi)$  of the spaces with a topological group  $\pi$  of operators which are compact and totally disconnected.  $\mathbf{C}(\pi)$  is a full subcategory of  $\mathbf{C}'(\pi)$ .  $\pi$  acts to the right on an object  $X$  in  $\mathbf{C}$  and to the left on  $F(X)$ . In  $\mathbf{C}(\pi)$  a Galois object is isomorphic to a quotient of  $\pi$  by a normal subgroup and a pointed object  $X$  has an element  $a$  of  $F(X)$ . Thus a connected pointed object identified with an open subgroup of  $\text{Aut}(F)$ .

Let  $\mathbf{C}, \mathbf{C}'$  be two Galois categories,  $H : \mathbf{C} \rightarrow \mathbf{C}'$  a covariant functor,  $F'$  a fundamental functor on  $\mathbf{C}'$  and  $F = F' \circ H$ . Then the following conditions are equivalent:

1.  $H$  is exact.
2.  $H$  is a fundamental functor.

${}^tH$  defines a continuous homomorphism

$${}^tH : \pi_{F'} \rightarrow \pi_F$$

Conversely, given two fundamental functors  $F, F'$  of  $\mathbf{C}, \mathbf{C}'$  and a continuous homomorphism  $\pi_{F'} \rightarrow \pi_F$ , it corresponds to a functor of  $\mathbf{C}(\pi)$  to  $\mathbf{C}(\pi')$  such that it is exact and such that it corresponds to a exact functor  $H : \mathbf{C} \rightarrow \mathbf{C}'$  such that  ${}^tH : \pi_{F'} \rightarrow \pi_F$  is  $u$  itself.

**Proposition 1** 1. Let  $X$  be a connected pointed object of  $\mathbf{C}$  which is associated to an open subgroup  $U$  of  $\pi_F$ .  $U \supseteq u(\pi_{F'})$  (resp.  $U$  contains the closed normal subgroup generated by  $u(\pi_{F'})$ ) iff  $H(X)$  admits a pointed section (resp.  $H(X)$  is completely decomposed).

2.  $u$  is trivial iff for any object  $X$  of  $\mathbf{C}$ ,  $H(X)$  is completely decomposed.
3. Let  $X'$  be a connected pointed object of  $\mathbf{C}'$  which is associated to an open subgroup of  $\pi_{F'}$ .  $U' \supseteq \ker u$  iff there exist a connected pointed object  $X$  of  $\mathbf{C}$  and a pointed homomorphism of the connected pointed component  $X'_0$  of  $H(X)$  into  $X'$ . Hence  $X$  is isomorphic to a quotient of the neutral connected component of the inverse image of a pointed object of  $\mathbf{C}$  as a pointed object. If  $u$  is surjective,  $X$  is isomorphic to  $H(X)$ , where  $X$  is a pointed object in  $\mathbf{C}$ .
4.  $U' \supseteq \ker u$  iff there exist a connected object  $X$  of  $\mathbf{C}$  and a morphism of a connected component of  $H(X)$  into  $X'$ . If  $u$  is surjective,  $X'$  is isomorphic to an object of the form  $H(X)$ .
5.  $u$  is injective iff for any object  $X'$  of  $\mathbf{C}'$ , there exist an object  $X$  of  $\mathbf{C}$  and a homomorphism of one connected component of  $H(X)$  into  $X'$ .
6. Any connected object of  $\mathbf{C}'$  is isomorphic to an object of the form  $H(X)$  iff  $u$  is isomorphic from  $\pi_{F'}$  to a subgroup which is a direct factor of  $\pi_F$ . In fact we however construct a homomorphism  $\pi_F \rightarrow \pi_{F'}$  which is a right-inverse by the certain exact functor of  $\mathbf{C}'$  to  $\mathbf{C}$ .

**Proposition 2** *The following conditions are equivalent:*

1. The homomorphism  $u : \pi_{F'} \rightarrow \pi_F$  is surjective.
2. For any connected object  $X$  of  $\mathbf{C}$ ,  $H(X)$  is connected.
3. The functor  $H$  is fully faithful.

**Corollary 1** *The following conditions are equivalent:*

1.  $u$  is an isomorphism.
2.  $H$  is an categorical equivalence.
3. The following two conditions are satisfied:
  - (a) for any connected object  $X$  of  $\mathbf{C}$ ,  $H(X)$  is connected.
  - (b) any object of  $\mathbf{C}'$  is isomorphic to an object of the form  $H(X)$ .

Let  $S$  be a locally noetherian connected scheme and

$$a : \text{Spec}(\Omega) \rightarrow S$$

a geometric point of  $S$  with values in algebraically closed field  $\Omega$ . Put  $\mathbf{C}$  the category of etale coverings of  $S$  and for an object  $X$  of  $\mathbf{C}$   $F(X)$  the set of the geometric points of  $X$  over  $a$ . Then these  $\mathbf{C}$  and the functor  $F$  satisfy the axioms (G1)-(G6). Hence it forms a Galois category. Hence the pro-object  $P_F$  of  $\mathbf{C}$  which represents  $F$  is the universal covering of  $S$  at the point  $a$ .  $\pi_F = \text{Aut}(F) = \text{Aut}(P)^\circ$  is called fundamental group of  $S$  at  $a$  which is denoted by  $\pi_1(S, a)$ . If  $a'$  is another geometric point of  $S$  with values in algebraically closed field  $\Omega'$ , it defines a fundamental functor  $F'$ . We denote by  $\pi_1(S; a, a')$  the set of homomorphisms  $F \rightarrow F'$ , which are indeed isomorphisms. Now let

$$f : S' \rightarrow S$$

a morphism between locally noetherian connected schemes,  $a'$  a geometric point and  $a = f(a')$  its image in  $S$ . Then the inverse image functor  $f^*$  induces a functor of the category  $\mathbf{C}(S)$  of the etale coverings of  $S$  to the category  $\mathbf{C}'(S')$  of the etale coverings of  $S'$ . We have an isomorphism of functors

$$F \cong F' \circ f^*$$

Hence  $f^*$  is an exact functor. In particular we have a canonical homomorphism

$$u = \pi_1(f; a'); \pi_1(S', a') \rightarrow \pi_1(S, a)$$

which admits to reconstruct inverse image functor  $\mathbf{C}(S) \rightarrow \mathbf{C}'(S')$ .

**Proposition 3** *Let  $S$  be the spectre of a field  $k$  and  $\Omega$  an algebraically closed extension of  $k$  which defines a geometric point  $a$  of  $S$ . Let  $\bar{k}$  be the separable closure in  $\Omega$ . Then there exists a canonical isomorphism of  $\pi_1(S, a)$  onto the topological Galois group of  $\bar{k}/k$ .*

### 3 Applications

We propose to give the following definitions for varieties which are not necessarily commutative.

**Definition 2** *Let  $k$  be a field. A geometrically irreducible and reduced projective schemes over  $k$  is called variety.*

*A variety  $V$  is called of general type if every generically finite cover of  $V$  has a finite birational automorphism group.*

*$V$  is called non-uniruled if every generycally finite cover has a birational automorphism group which is locally algebraic.*

*$V$  is called uniruled if there exists an infinite sequence of generically finite covers which have birational automorphism groups which are not locally algebraic.*

*$V$  is called rationally connected if there exist an infinite sequence of generically finite covers the ramification locus of which are dense and whose birational automorphism groups are not locally algebraic.*

**Theorem 4** *Let  $k$  be an algebraically closed field of characteristic  $p > 0$  and  $X$  a projective variety over  $k$ . Then the set of projective varieies  $Y$  of general type whose  $m$ -th pluri-canonical invertible sheaves  $\omega_Y^{\otimes m}$  give birational embedding into projective spaces such that there exist dominant separable rational maps from  $X$  to  $Y$  is finite.*

**Lemma 1** *Let  $k$  be an algebraically closed field of characteristic  $p > 0$ . Let  $S$  be the spectre of a function field of a variety  $T$  over  $k$  and  $K$  a function field of  $S$ . Let  $X_0$  be a variety over  $k$ , and  $k(X_0)$  a function field of  $X_0$ . Let  $X$  be a variety over  $S$  such  $k(X)$  is isomorphic to the total fractional field of  $k(X_0) \otimes_k K$ . Let  $Y$  be a variety over  $S$ . Assume  $f : X \rightarrow Y$  is a dominant separable rational map over  $S$  and assume that the birational automorphism group of  $Y$  over  $S$  is a locally algebraic group over  $S$ . Then there exist a variety  $Y_0$  over  $k$  and a variety  $T'$  generically finite over  $T$  such that the function field  $K'(Y_{S'})$  of  $Y_{S'}$  is isomorphic to the total fractional field of  $k(Y_0) \otimes_k K'$ , where the spectre  $S'$  of the function field  $K'$  of  $T'$ .*

**Proof 1** We denote by  $\bar{K}$  the separable closure in an algebaically closed extension of  $K$ . Let  $\bar{Y}$  denote  $Y \otimes_K \bar{K}$ . We make use of Breen's extension theory([Breen1],[Breen2], [Gir]) We denote by  $\text{Aut}(G)$  the automorphism group of the absolute Galois group  $G = \text{Gal}(-/\bar{K}(\bar{Y}))$  of  $\bar{K}(\bar{Y})$  and by  $\text{Out}(G)$  the outer automorpism group of  $G$  which is the quotient of  $\text{Aut}(G)$  by its inner automorphism. Let  $P$  denote the absolute Galois group of  $K$ . We have the extension class of  $P$  by  $G$  in the cohomology

$$H^1(P, (G \rightarrow \text{Aut}(G)))$$

where  $(G \rightarrow \text{Aut}(G))$  is a crossed module.

$$\begin{array}{ccccc}
 & & H^1(P, Z(G)[1]) & & \\
 & & \downarrow & & \\
 H^1(P, \text{Aut}(G)) & \longrightarrow & H^1(P, (G \rightarrow \text{Aut}(G))) & \longrightarrow & H^2(P, G) \\
 & \searrow & \downarrow & & \\
 & & H^1(P, \text{Out}(G)) & & 
 \end{array}$$

Since  $G$  is a topological group, we define the unique subgroup of  $\text{Aut}(G)$  whose elements  $\phi : G \rightarrow G$  are continuous automorphisms and we have the subgroup of  $\text{Out}(G)$  corresponding to the subgroup above, which we denote by  $\text{Out}(G)^{\text{cont}}$ . Note that

$$\text{Out}(G)^{\text{cont}} \cong \text{Aut}(\text{Spec} \bar{K}(\bar{Y}))$$

In fact  $\text{Aut}(\text{Spec} \bar{K}(\bar{Y}))$  is a subgroup of  $\text{Out}(G)$  and its maximal continuous subgroup. The absolute Galois group of  $\text{Spec} K(X)$  has a section over the absolute Galois group  $P$  of  $S$  and so that  $E$  of  $\text{Spec} K(Y)$ . Note that  $E$  is an extension of  $P$  by  $G$ . Then a section of  $P$  to  $E$  gives an continuous automorphism of  $G$  as a conjugate action. It defines an element of  $H^1(P, \text{Aut}(G))$  which gives an element of  $H^1(P, \text{Out}(G))$  which is in fact an element of  $H^1(P, \text{Out}(G)^{\text{cont}}) = H^1(P, \text{Aut}(\text{Spec} \bar{K}(\bar{Y})))$ . Since  $P$  is a profinite group ([RBZL], [Shatz]) and  $\text{Aut}(\text{Spec} \bar{K}(\bar{Y}))$  is a locally algebraic group, there exists a variety  $T'$  generically finite over  $T$  such that the image of the element of  $H^1(P, \text{Out}(G)^{\text{cont}})$  in  $H^1(P', \text{Out}(G)^{\text{cont}})$  is isomorphic to

$$P' \rightarrow 1 \rightarrow \text{Out}(G)^{\text{cont}}$$

where  $P'$  denotes the absolute Galois group of  $k(T')$ . Hence the extension element of  $S'$  by  $G$  in the cohomology  $H^1(P', (G \rightarrow \text{Aut}(G)))$  which maps an identity element of  $H^1(P', \text{Out}(G))$  comes from  $H^2(P', Z(G))$ . Note that

$$H^2(P', Z(G)) \rightarrow H^1(P', (G \rightarrow \text{Aut}(G)))$$

is injective.

The associated inverse-image element of  $H^2(P', Z(G))$  has a section; hence it is a semi-direct product. Since  $Z(G)$  is commutative, the semi-direct product is a direct product. Therefore the extension element of  $H^1(P', (G \rightarrow \text{Aut}(G)))$  is trivial.

Hence there exist a variety  $Y_0$  over  $k$  and a variety  $T'$  generically finite over  $T$  such that the function field  $K'(Y)$  of  $Y_{S'}$  is isomorphic to the total fractional field of  $k(Y_0) \otimes_k K'$ , where the spectre  $S'$  of the function field  $K'$  of  $T'$ .

**Proof 2 (of Theorem)** Since there exist injections  $H^0(Y, \omega_Y^{\otimes m}) \hookrightarrow H^0(X, \omega_X^{\otimes m})$ , we can birationally embed all of  $Y$  by the pluri-canonical mappings into a projective space  $\mathbf{P}$  with a fundamental invertible sheaf  $H$ . Let  $\text{Hilb}_{\mathbf{P}}^{p(n)}$  denote the Hilbert scheme of  $\mathbf{P}$  with a polynomial  $p(n)$ .

Let  $Y_P$  denote the connected component of closure of the image of  $Y$  in  $\mathbf{P}$ . Hence  $Y_P$  are varieties.

We have inclusions for  $n \geq 1$

$$H^0(Y_P, H^{\otimes n}) \hookrightarrow H^0(Y, \omega_Y^{\otimes n}) \hookrightarrow H^0(X, \omega_X^{\otimes n})$$

Hence the Hilbert polynomial  $P(n)$  has a leading coefficient bounded above. Thus  $Y_P$  form a bounded family and so the parameter scheme of  $Y_P$  is a noetherian scheme. We shall use noetherian induction and we have the following commutative diagram:

$$\begin{array}{ccc} X \times T & \xrightarrow{\quad} & \{Y_P\} \subseteq \mathbf{P} \times T \\ & \searrow \quad \swarrow & \\ & T & \end{array}$$

where  $T$  is a subscheme of some  $\text{Hilb}_{\mathbf{P}}$  which parameters  $Y_P$ . Apply the lemma above to varieties in the commutative triangle and we have an open subset  $U$  of  $T$  such that  $Y_P$  over any  $k$ -point of  $U$  is isomorphic to some  $Y_0$ .

Therefore the set of projective varieties  $Y$  of general type whose  $m$ -th pluri-canonical invertible sheaves  $\omega_Y^{\otimes m}$  give birational embedding into projective spaces such that there exist dominant separable rational maps from  $X$  to  $Y$  is finite.

**Theorem 5** Let  $S$  be a geometrically irreducible and connected separable scheme over  $\text{Spec} \mathbb{Z}$  and  $X, Y$  schemes which are geometrically irreducible and connected separable over  $S$  and  $f : X \rightarrow Y$  a geometrically surjective separable morphism over  $S$ . Assume that  $X$  is isomorphic to a product  $X_0 \times_{\text{Spec} \mathbb{Z}} S$ , where  $X_0$  is a geometrically irreducible and connected separable scheme over  $\text{Spec} \mathbb{Z}$  and that for any geometric point  $\bar{s}$  over each point  $s$  of  $S$ ,  $\text{Aut}(Y_{\bar{s}})$  is a locally algebraic group over  $\bar{s}$ . Then there exists a connected separable scheme  $Y_0$  over  $\text{Spec} \mathbb{Z}$  such that  $Y_{S'}$  is isomorphic to a product  $Y_0 \times_{\text{Spec} \mathbb{Z}} S'$  where  $S'$  is a scheme surjective onto  $S$ .

**Proof 3** Let  $s$  be any point on  $S$ , and  $G_X, (\text{resp. } G_Y)$  a presheaf of profinite groups over  $X$  (resp.  $Y$ ) such that for any subscheme  $V$  of  $X$  (resp.  $Y$ ) and a compatible geometric point  $a_V$ ,  $G_X(V) = \pi_1(V, a_V)$  (resp.  $G_Y(V) = \pi_1(V, a_V)$ ). A scheme  $X$  (resp.  $Y$ , resp.  $S$ ) corresponds to a presheaf of profinite groups  $\mathcal{G}_X$  (resp.  $\mathcal{G}_Y$ , resp.  $\mathcal{G}_S$ ). A scheme  $X_s$  (resp.  $Y_s$ ) corresponds to an extension of  $\mathcal{G}_{S_s}$  by  $\mathcal{G}_{X_s}$  (resp.  $\mathcal{G}_{Y_s}$ ) as presheaves of profinite groups. Consider the following commutative triangle:

$$\begin{array}{ccccc}
 & & H^1(\mathcal{G}_{S_s}, Z(\underline{\mathcal{G}_{Y_s}})[1]) & & \\
 & & \downarrow & & \\
 H^1(\mathcal{G}_{S_s}, \underline{\text{Aut}}(\mathcal{G}_{Y_{\bar{s}}})) & \longrightarrow & H^1(\mathcal{G}_{S_s}, (\underline{\mathcal{G}_{Y_{\bar{s}}}} \rightarrow \underline{\text{Aut}}(\mathcal{G}_{Y_{\bar{s}}})) & \longrightarrow & H^2(\mathcal{G}_{S_s}, \underline{\mathcal{G}_{Y_s}}) \\
 & \searrow & \downarrow & & \\
 & & H^1(\mathcal{G}_{S_s}, \underline{\text{Out}}(\mathcal{G}_{Y_{\bar{s}}})) & & 
 \end{array}$$

where  $\bar{s}$  is a geometric point over  $s$ . By assumption  $X$  is a product and so  $\mathcal{G}_{Y_s}$  has a section, which defines a conjugate continuous action on  $\mathcal{G}_{Y_{\bar{s}}}$ . Hence it gives an element of the cohomology  $H^1(\mathcal{G}_{S_s}, \underline{\text{Aut}}(\mathcal{G}_{Y_{\bar{s}}}))$  and its image is in  $H^1(\mathcal{G}_{S_s}, \underline{\text{Out}}(\mathcal{G}_{Y_{\bar{s}}}))$ .

Note that

$$\underline{\text{Out}}(\mathcal{G}_{Y_{\bar{s}}})^{\text{cont}} \cong \underline{\text{Aut}}(Y_{\bar{s}})$$

An element of  $H^1(\mathcal{G}_{S_s}, \underline{\text{Out}}(\mathcal{G}_{Y_{\bar{s}}}))$  corresponds to a natural transformation of a constant functor to a functor:

$$\mathcal{G}_{S_s} \longrightarrow \underline{\text{Out}}(\mathcal{G}_{Y_{\bar{s}}})$$

Thus it is equivalent to a homomorphism:

$$\mathcal{G}_{S_s} \longrightarrow \Gamma(\underline{\text{Out}}(\mathcal{G}_{Y_{\bar{s}}}))$$

Note that

$$\Gamma(\underline{\text{Out}}(\mathcal{G}_{Y_{\bar{s}}})^{\text{cont}}) \cong \Gamma(\underline{\text{Aut}}(Y_{\bar{s}})) = \text{Aut}(Y_{\bar{s}})$$

This automorphism group is locally algebraic by assumption. Hence there exists a scheme  $S'$  such that  $S' \rightarrow S$  is surjective and that for any  $s \in S'$  each homomorphism

$$\mathcal{G}_{S_s} \longrightarrow \Gamma(\underline{\text{Out}}(\mathcal{G}_{Y_{\bar{s}}}))$$

factors through 1. Thus the extension class in  $H^1(\mathcal{G}_{S_s}, (\underline{\mathcal{G}_{Y_{\bar{s}}}} \rightarrow \underline{\text{Aut}}(\mathcal{G}_{Y_{\bar{s}}}))$  comes from  $H^1(\mathcal{G}_{S_s}, Z(\underline{\mathcal{G}_{Y_s}}))$ , which is in fact a trivial since it has a section. Hence the extension class is also trivial.

Let  $\underline{\mathcal{G}_{S_t}}$  (resp.  $\underline{\mathcal{G}_{S_{\bar{t}}}}$ ) be a presheaf of profinite groups associated to  $S_t$  (resp.  $S_{\bar{t}}$  for any point  $t \in \text{Spec } \mathbb{Z}$  (resp. an geometric point  $\bar{t}$  over  $t$ )) and  $G_t$  the absolute Galois group associated to a point  $t$ . There exists a short exact sequence

$$1 \rightarrow \underline{\mathcal{G}_{S_{\bar{t}}}} \rightarrow \underline{\mathcal{G}_{S_t}} \rightarrow G_t \rightarrow 1$$

Hence we have an exact sequence

$$\begin{array}{c}
 (Y_0)_{\bar{t}} \in H^1(\underline{\mathcal{G}_{S'_{\bar{t}}}}, (\underline{\mathcal{G}_{Y_{\bar{s}}}} \rightarrow \underline{\text{Aut}}(\mathcal{G}_{Y_{\bar{s}}})) \\
 \uparrow \\
 (Y_0)_t \times_t S_t \in H^1(\underline{\mathcal{G}_{S'_t}}, (\underline{\mathcal{G}_{Y_{\bar{s}}}} \rightarrow \underline{\text{Aut}}(\mathcal{G}_{Y_{\bar{s}}})) \\
 \uparrow \\
 (Y_0)_t \in H^1(G_t, (\underline{\mathcal{G}_{Y_s}} \rightarrow \underline{\text{Aut}}(\mathcal{G}_{Y_{\bar{s}}}))
 \end{array}$$

where in the second row the extension class is trivial since for any point  $s$  over  $t$  the extension class in  $H^1(G_{S'_s}, (G_{Y_{\bar{s}}} \rightarrow \underline{\text{Aut}}(\mathcal{G}_{Y_{\bar{s}}}))$  is trivial and the first row the image is trivial and so the class is a product  $(Y_0)_{\bar{t}} \times S_{\bar{t}}$  since  $t$  is a geometric point and hence there exists an element  $(Y_0)_t$  in the third row and therefore we get  $(Y_0)_t \times_t S_t$  by pushout. Thus we conclude that  $Y_{S'}$  is isomorphic to  $Y_0 \times S'$  since  $Y_t$  is isomorphic to  $(Y_0)_t \times_t S_t$  for any  $t \in \text{Spec } \mathbb{Z}$  and  $Y$  has a global section of  $S$  and so  $Y_{S'}$ .

## 4 Analogues

**Theorem 6** Let  $k$  be an algebraically closed field of characteristic  $p > 0$ . Let  $f : X \rightarrow S$  be a connected and geometrically irreducible and generically separable morphism between irreducible and generically separable projective schemes over  $k$ . Assume that  $X$  is a normal variety and that  $S$  is regular. Let  $\omega_{X/S}^{[m]}$  denote the double dual of the  $m$ -th tensor power of  $\omega_{X/S}$  and  $\hat{\mathcal{F}}$  the double dual of a torsion free sheaf  $\mathcal{F}$ . If  $\kappa(X_{\bar{\eta}}) \geq 0$  where  $\bar{\eta}$  is the generic geometric point of  $S$ , then

$$\max_{m \geq 1} (\kappa(\hat{\det} f_* \omega_{X/S}^{[m]})) \geq \text{var}(X/S)$$

**Corollary 2** Under the same conditions of the theorem we have

$$\kappa(\hat{\omega}_{X/S}) \geq \kappa(\hat{\omega}_{X_{\bar{\eta}}}) + \text{var}(X/S)$$

**Proof 4** Assume that  $\text{var}(X/S) = \dim S$ .  $f : X \rightarrow S$  factors through a dominant rational map and a projection

$$\begin{array}{ccc} X & & \\ \downarrow f & \searrow & \\ & P^1 \times \cdots \times P^1 \times S & \\ & \swarrow & \\ & S & \end{array}$$

Take an integral closure  $X'$  of  $P^1 \times \cdots \times P^1 \times S$  in the function field  $k(X)$  of  $X$ . Let  $Z$  denote  $P^1 \times \cdots \times P^1 \times S$ .

$$\begin{array}{ccc} X & \xrightarrow{\quad} & X' \\ \downarrow & \searrow & \downarrow \\ & Z = P^1 \times \cdots \times P^1 \times S & \\ & \swarrow & \\ & S & \end{array}$$

Let  $H$  be a very ample invertible sheaf over  $P^1 \times \cdots \times P^1$  and take the pull-back of  $H$  over  $P^1 \times \cdots \times P^1 \times S$  as the same notation. Construct a cyclic cover  $Y$  by  $H^{\otimes n} = \mathcal{O}_Z(D)$  where  $D$  is a regular divisor and  $p$  does not divide  $n$ . We have the formula  $h_*(\omega_{Y/S}^{\otimes m}) = \bigoplus_{i=0}^{n-1} \omega_{X'/S}^{\otimes m} \otimes H^{\otimes m(n-1)-i}$  over some open subset of  $Z$ . Let  $f'$  denote the morphism  $X' \rightarrow S$ ,  $p : X' \rightarrow Z$  and  $q : Z \rightarrow S$ , hence  $f' = q \circ p$ . The generic geometric fibre  $Y/S$  is of general type and so  $g^* g_* \omega_{Y/S}^{[m]} \rightarrow \omega_{Y/S}^{[m]}$  gives a birational map for some  $m$ . Thus using  $\text{var}(Y/S) \geq \text{var}(X/S)$  which we shall show, we have

$$\max_{m \geq 1} (\kappa(\hat{\det} g_* \omega_{Y/S}^{[m]})) \geq \text{var}(Y/S) = \dim S$$

Hence there exists a very ample invertible sheaf  $A$  such that  $A \hookrightarrow \omega_{Y/S}^{[m]}$  over  $Y$  for some  $m$  and we may assume  $A \hookrightarrow \omega_{X'/S}^{[m]} \otimes H^\ell$  for some  $\ell \geq 0$ . We may assume  $\ell > 0$ . Since  $Z$  is regular and  $p_* \omega_{X'/S}^{[m]}$  is torsion free,  $p_* \omega_{X'/S}^{[m]}$  is locally free except a locus of codimension  $\geq 2$ . We may assume we have  $A \hookrightarrow p_* \omega_{X'/S}^{[m]} \otimes H^{\otimes \ell}$ . The homomorphism



$A \mapsto \hat{p}_* \hat{\omega}_{X'/S}^{[m]} \otimes H^{\otimes \ell}$ . gives a homomorphism  $A \mapsto p_* \omega_{X'/S}^{[m]}$ . outside of the pull-back of the support of  $A$  on  $S$  (resp.  $H$  on  $P^1 \times \cdots \times P^1$ ) by the projection  $Z \rightarrow S$  (resp.  $Z \rightarrow P^1 \times \cdots \times P^1$ .) In fact since  $A$  is very ample, we have  $H^1(\mathcal{F}) = 0$  for any coherent sheaf on an affine variety  $S \setminus \text{supp}(A)$  and so we have the homomorphism  $A \mapsto q_* \hat{p}_* \hat{\omega}_{X'/S}^{[m]}$  on the affine variety.

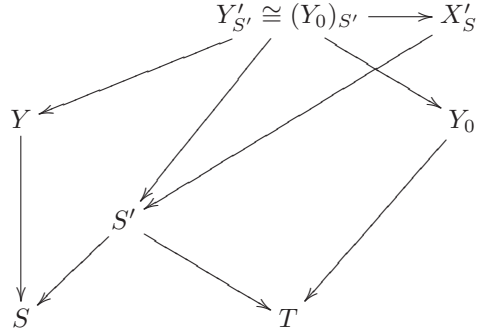
Hence we have a homomorphism  $A \mapsto p_* \omega_{X'/S}^{[m]}$  on an open subset of  $Z$  except an closed subset of codimension  $\geq 2$ . Therefore we have  $A \mapsto p_* \omega_{X'/S}^{[m]}$  over the whole  $Z$ . Therefore we obtain

$$\max_{m \geq 1} (\kappa(\hat{\det} f'_* \omega_{X'/S}^{[m]})) \geq \text{var}(X'/S) = \dim S$$

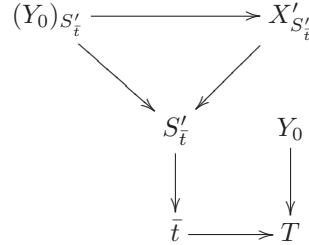
It remains to show  $\text{var}(Y/S) \geq \text{var}(X'/S)$ .

**Proposition 4** *If the generic geometric fibre of  $X'/S$  is of  $\max_{m \geq 0} \{\kappa(\omega_{X'_\eta}^{[m]})\} \geq 0$  then  $\text{var}(Y/S) \geq \text{var}(X'/S)$ .*

**Proof 5** *If  $\text{var}(Y/S) = \dim S$ , it remains nothing to prove. If  $\text{var}(Y/S) < \dim S$ , then there exist a variety  $T$  and a projective variety  $Y_0$  which has a surjective morphism onto  $T$  with a geometrically irreducible connected separable projective generic geometric fibre. Since the birational automorphism group of the generic geometric fibre of  $X'/S$  is locally algebraic,*



For a generic geometric point  $\bar{t}$  of  $T$  we have



Since

$$(Y_0)_{S'_t} \cong (Y_0)_{\bar{t}} \times S'_t$$

, apply the lemma above to this case. We have  $\text{var}(X'/S) \leq \dim T = \text{var}(Y/S)$ .

## 5 Another minimal model

We refer to the minimal model theory by K.Matsuki([Mats],[Iita]). Here we take a minimal model different from the standard one which we refer to.

**Theorem 7** *Let  $k$  be the complex number field. Let  $X$  be a projective variety of  $\kappa(X) \geq 0$  over  $k$ . Then there exists a normal variety  $X'$  such that a sheaf  $\omega_{X'}$  is derived from Weil divisor and nef (resp. abundant).*



**Proof 6** Let  $X$  has a dominant rational map onto a product of projective lines  $P^1 \times \cdots \times P^1 \times P^1$  of the same dimension of  $X$ . Let  $X'$  be the integral closure of the structure sheaf of this product in the constant sheaf of the rational function field of  $X$  over this product([Baki]).

$$\begin{array}{c} X' \\ \downarrow \\ P^1 \times \cdots \times P^1 \times P^1 \\ \downarrow \\ P^1 \times \cdots \times P^1 \end{array}$$

Let  $P' = P^1 \times \cdots \times P^1 \times P^1$  and  $P'' = P^1 \times \cdots \times P^1$ . The ramification locus of  $X'/P'$  is the divisor of the support of  $\omega_{X'/P'}$ .

Hence denoting the morphism  $X'/P'$  by  $\pi_{X'/P'}$ , we can find an effective divisor  $D_{P'}$  on  $P'$  such that  $\kappa(\omega_{P'}(D_{P'})) \geq 0$  and that

$$(\pi_{X'/P'})_*(\omega_{X'} \otimes (\omega_{P'}(D_{P'}))^{-1})^{\otimes m}$$

is nef(resp. abundant) for sufficiently large  $m$ .

Note that  $\kappa(\omega_{X'}) \geq 0$  implies  $\kappa(\omega_{P'}(D_{P'})) \geq 0$ . We shall later show  $\omega_{P'}(D_{P'})$  is nef(resp.abundant). Hence  $\omega_{X'}$  is nef(resp. abundant). It remain to prove  $\omega_{P'}(D_{P'})$  with assumption  $\kappa(\omega_{P'}(D_{P'})) \geq 0$  is nef(resp. abundant) by induction.

Since  $\omega_{P'}(D_{P'})$  has no vertical component respect to  $P'/P''$  which is not a pull-back of a hyperplane of  $P''$ , we can find  $D_{P''}$  such that  $\omega_{P'}(D_{P'}) \otimes (\omega_{P''}(D_{P''}))^{-1}$  is an invertible sheaf derived from horizontal hyperplane on  $P'$  with respect to  $P'/P''$ . Thus

$$\pi_{P'/P''}_*(\omega_{P'}(D_{P'}) \otimes (\omega_{P''}(D_{P''}))^{-1})^{\otimes m}$$

is nef(resp. abundant).

From  $\kappa(\omega_{P''}(D_{P''})) \geq 0$  and induction assumption, we conclude that  $\omega_{P'}(D_{P'})$  is nef(resp. abundant). Hence the induction is complete.

## 6 Non-Commutative Algebraic Geometry

### 6.1 preliminary

Recall another Grothendieck's generalization of Galois correspondence mainly in chapter 7(p.294) ([CD]). Let  $A$  be a small category and if  $\mathbb{A}$  is a presheaf of categories over  $A$ , we define the category of representations of  $\mathbb{A}$  which we denote by  $\text{Rep}(\mathbb{A})$ . Let  $\mathbb{G}$  be a presheaf of groups(resp. groupoids) over  $A$ . The category  $\text{Rep}(\mathbb{G})$  is the category of presheaves over  $A$  with an action of  $\mathbb{G}$  on the right. Let  $\nabla \mathbb{A}$  be the fibred category associated to  $\mathbb{A}$  and we denote  $\nabla \mathbb{G}$  by  $B\mathbb{G}$  which is the classifying category associated to  $\mathbb{G}$ . We fix a classifying space of  $\mathbb{G}$ , i.e., a presheaf  $B\mathbb{G}$  over  $A$  equipped with a universal  $\mathbb{G}$ -torsor  $E\mathbb{G} \rightarrow B\mathbb{G}$ . If  $u : A \rightarrow B$  is a smooth functor and if  $B$  is a local test category, then  $A$  is also a local test category and so  $B\mathbb{G}$ . By this we obtain a theorem of correspondence of Galois with respect to  $\mathbb{G}$ .

Let  $W$  be a fundamental localizer and  $\mathcal{H}_W \hat{A}$  denote the localization of the category  $\hat{A}$  by the class  $W_{\hat{A}}$  of  $W$ -equivalences of presheaves over  $A$ . An equivalence of Quillen to the left

$$\text{Rep}(\mathbb{G})/E\mathbb{G} \rightarrow \hat{A}/B\mathbb{G} : (X, X \rightarrow E\mathbb{G}) \mapsto (\mathbb{G} \backslash X, \mathbb{G} \backslash X \rightarrow B\mathbb{G})$$

gives the following functor with canonical equivalence of categories  $\mathcal{H}_W \text{Rep}(\mathbb{G}) \cong \mathcal{H}_W \text{Rep}(\mathbb{G})/E\mathbb{G}$ . Thus we have a functor of descent

$$\mathbf{Desc} : \mathcal{H}_W \text{Rep}(\mathbb{G}) \rightarrow \mathcal{H}_W \hat{A}/B\mathbb{G}$$

The functor of descent is an equivalence of categories and admits the functor of monodromy as quasi-inverse

$$\mathbf{Mon} : \mathcal{H}_W \hat{A}/B\mathbb{G} \rightarrow \mathcal{H}_W \text{Rep}(\mathbb{G})$$

defined by giving the representation  $X \times_{B\mathbb{G}} E\mathbb{G}$  which is a  $\mathbb{G}$ -torsor.

## 6.2 Non-Commutative Algebraic Geometry

Let  $k$  be an algebraically closed field of characteristic 0 and let  $\mathbf{C}$  be the category of the non-commutative algebraic varieties defined over  $k$  ([Kon]). For every non-commutative variety  $X$  as an object of  $\mathbf{C}$  and the Galois group of each Galois extension ([Cohn],[Brz]) of the field associated to the generic point of  $X$ , we define a presheaf of Galois groups  $\mathbb{G}$  over  $\mathbf{C}$ . Apply  $A$  in the preliminary to  $\mathbf{C}$  and we obtain the following lemma and theorems similar argument in the proof of commutative algebraic geometry. Hence we state them without proofs. To change fixing a classifying space corresponds to the inner automorphism, which determines an equivalence. Let  $u : X \rightarrow Y$  be a morphism in the category  $\mathbf{C}$ . Then we have a homomorphism  $G_X \rightarrow u^{-1}G_Y$  of presheaves of Galois groups associated to  $X$  and  $Y$ , respectively. Conversely, This homomorphism  $G_X \rightarrow u^{-1}G_Y$  up to the equivalence determines a morphism  $u$ .

**Lemma 2** *Let  $S$  be a commutative algebraic variety over  $k$  and  $X, Y$  non-commutative algebraic projective varieties over  $S$ . Assume  $X$  is birationally equivalent to a product  $X_0 \times S$  where  $X_0$  is a projective variety over  $k$  and assume that the generic geometric fibre  $Y_{\bar{\eta}}$  of  $Y/S$  where  $\bar{\eta}$  is an algebraically closed extension point of the generic point  $\eta$  of  $S$  has the birational automorphism group which is locally algebraic. If there exists a dominant rational map  $X \rightarrow Y$  over  $S$ , then there exist a variety  $S'$  and a generically finite surjective morphism  $S' \rightarrow S$  such that  $Y_{S'}$  is birationally equivalent to a product  $Y_0 \times S'$  where  $Y_0$  is a projective variety over  $k$ .*

**Theorem 8** *Let  $S$  be a commutative algebraic variety over  $k$  and  $X, Y$  non-commutative algebraic projective varieties over  $S$ . Assume that the generic geometric fibre  $Y_{\bar{\eta}}$  of  $Y/S$  where  $\bar{\eta}$  is an algebraically closed extension point of the generic point  $\eta$  of  $S$  has the birational automorphism group which is locally algebraic. If there exists a dominant rational map  $X \rightarrow Y$  over  $S$ , then*

$$\text{var}(Y/S) \geq \text{var}(X/S)$$

**Theorem 9** *Let  $S$  be a commutative algebraic variety over  $k$  and  $X, Y$  non-commutative algebraic projective varieties over  $S$ . Assume that  $X$  is isomorphic to a product  $X_0 \times_k S$  over  $S$  where  $X_0$  is a non-commutative projective variety over  $k$ . Assume that  $Y_{\bar{s}}$  where  $\bar{s}$  is any geometric point of  $S$  has the automorphism group which is locally algebraic. If there exists a geometrically surjective  $X \rightarrow Y$  over  $S$ , then there exist a variety  $S'$  and a surjective morphism  $S' \rightarrow S$  such that  $Y_{S'} \cong Y_0 \times_k S'$  where  $Y_0$  is a non-commutative projective variety over  $k$ .*

**Remark 1** *Let  $K$  be a differential field with generators of finite transcendence degree over the field of complex numbers and  $S$  the set of intermediate differential field with the differential automorphism group which is locally algebraic. Then  $S$  is countable.*

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